

LIST OF INFORMATION DISCLOSED BY APPLICANT

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APPLY. DOCKET NO. 05456.105009	SERIAL NO. 10/066,367	FILING DATE January 31, 2002
APPLICANT Robert Zobel et al.		GROUP 2135

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
YES	AA	5,696,486	12/9/97	Poliquin et al.	340		
	AB	6,353,385	3/5/02	Molini et al.	340		
	AC	5,353,393	10/4/94	Bennett et al.	345		
	AD	6,429,952	8/6/02	Olbricht	358		
	AE	5,748,098	5/5/98	Grace	370		
	AF	6,396,845	5/28/02	Sugita	370		
	AG	6,711,127	3/23/04	Gorman et al.	370		
	AH	5,734,697	3/31/98	Jabbarnezhad	379		
	AI	6,104,783	8/15/00	DeFino	379		
	AJ	6,226,372	5/1/01	Beebe et al.	379		
	AK	6,445,822	9/3/02	Crill et al.	382		
	AL	6,563,959	5/13/03	Troyanker	382		
YES	AM	6,618,501	9/9/03	Osawa et al.	382		

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	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
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FORM PTO-1449, Adapted

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
yes	AN	6,628,824	9/30/03	Belanger	382		
	AO	6,647,139	11/11/03	Kunii et al.	382		
	AP	6,661,904	12/9/03	Sasich et al.	382		
	AQ	6,668,082	12/23/03	Davison et al.	382		
	AR	6,668,084	12/23/03	Minami	382		
	AS	6,792,144	9/14/04	Yan et al.	382		
	AT	5,345,595	9/6/94	Johnson et al.	455		
	AU	6,278,886	8/21/01	Hwang	455		
	AV	6,889,168	05/03/05	Hartley et al.	702		
	AW	5,210,704	5/11/93	Husseiny	702		
	AX	5,842,002	11/24/98	Schnurer et al.	703		
	AY	5,854,916	12/29/98	Nachenberg	703		
	AZ	5,999,723	12/7/99	Nachenberg	703		
	BA	6,067,410	5/23/00	Nachenberg	703		
yes	BB	5,864,803	1/26/99	Nussbaum	704		

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	BC	6,014,645	1/11/00	Cunningham	705		
YCS	BD	6,584,454	6/24/03	Hummel, Jr. et al.	705		
	BE	5,675,711	10/7/97	Kephart et al.	706		
	BF	5,907,834	5/25/99	Kephart et al.	706		
	BG	5,649,185	7/15/97	Antognini et al.	707		
	BH	5,857,191	1/5/99	Blackwell, Jr. et al.	707		
	BI	5,878,420	3/2/99	de la Salle	707		
	BJ	5,899,999	5/4/99	De Bonet	707		
	BK	6,144,961	11/7/00	de la Salle	707		
	BL	6,161,109	12/12/00	Matamoros et al.	707		
	BM	6,282,546	8/28/01	Gleichauf et al.	707		
	BN	6,463,426	10/8/02	Lipson et al.	707		
	BO	6,647,400	11/11/03	Moran	707		
YCS	BP	4,223,380	9/16/80	Antonaccio et al.	709		

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Robert Zobel et al.	2135	

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Y/S	BQ	5,309,562	5/3/94	Li	709		
	BR	5,347,450	9/13/94	Nugent	709		
	BS	5,371,852	12/6/94	Attanasio et al.	709		
	BT	5,515,508	5/7/96	Pettus et al.	709		
	BU	5,539,659	7/23/96	McKee et al.	709		
	BV	5,630,061	5/13/97	Richter et al.	709		
	BW	5,706,210	1/6/98	Kumano et al.	709		
	BX	5,745,692	4/28/98	Lohmann, II et al.	709		
	BY	5,790,799	8/4/98	Mogul	709		
	BZ	5,835,726	11/10/98	Shwed et al.	709		
	CA	5,881,236	3/9/99	Dickey	709		
	CB	5,884,033	3/16/99	Duvall et al.	709		
Y/S	CC	5,922,051	7/13/99	Sidey	709		
	CD	5,715,395	02/03/98	Brabson et al.	709		
	CE	6,220,768	04/24/01	Barroux	709		

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APPLICANT

Robert Zobel et al.

GROUP

2135

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CF	5,964,839	10/12/99	Johnson et al.	709		
YCS	CG	5,974,237	10/26/99	Shurmer et al.	709		
I	CH	5,974,457	10/26/99	Waclawsky et al.	709		
	CH	5,983,270	11/9/99	Abraham et al.	709		
I	CJ	6,009,467	12/28/99	Ratcliff et al.	709		
	CK	6,026,442	2/15/00	Lewis et al.	709		
I	CL	6,035,323	3/7/00	Narayan et al.	709		
	CM	6,041,347	3/21/00	Harcham et al.	709		
I	CN	6,052,709	4/18/00	Paul	709		
	CO	6,070,190	5/30/00	Reps et al.	709		
I	CP	6,073,172	6/6/00	Frailong et al.	709		
	CQ	6,085,224	7/4/00	Wagner	709		
I	CR	6,119,165	9/12/00	Li et al.	709		
YCS	CS	6,195,687	2/27/01	Greaves et al.	709		

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YCS	CT	6,377,994	4/23/02	Ault et al.	709		
	CU	6,397,245	5/28/02	Johnson, II et al.	709		
	CV	6,415,321	7/2/02	Gleichauf et al.	709		
	CW	6,434,615	8/13/02	Dinh et al.	709		
	CX	6,438,600	8/20/02	Greenfield et al.	709		
	CY	6,453,345	9/17/02	Trcka et al.	709		
	CZ	6,453,346	9/17/02	Garg et al.	709		
	DA	6,484,203	11/19/02	Porras et al.	709		
	DB	6,496,858	12/17/02	Frailong et al.	709		
	DC	6,519,647	2/11/03	Howard et al.	709		
	DD	6,708,212	3/16/04	Porras et al.	709		
	DE	6,711,615	3/23/04	Porras et al.	709		
	DF	6,718,383	4/6/04	Hebert	709		
YCS	DG	2002/0032793	3/14/02	Malan et al.	709		

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YES	DH	2003/0037136	2/20/03	Labovitz et al.	709		
	DI	4,400,769	8/23/83	Kaneda et al.	710		
	DJ	5,511,184	4/23/96	Lin	710		
	DK	6,467,002	10/15/02	Yang	710		
	DL	5,991,856	11/23/99	Spilo et al.	711		
	DM	6,324,627	11/27/01	Kricheff et al.	711		
	DN	4,975,950	12/4/90	Lentz	713		
	DO	5,032,979	7/16/91	Hecht et al.	713		
	DP	5,121,345	6/9/92	Lentz	713		
	DQ	5,204,966	4/20/93	Wittenberg et al.	713		
	DR	5,274,824	12/28/93	Howarth	713		
	DS	5,278,901	1/11/94	Shieh et al.	713		
	DT	5,311,593	5/10/94	Carmi	713		
YES	DU	5,359,659	10/25/94	Rosenthal	713		
	DV	5,414,833	5/9/95	Hershey et al.	713		
	DW	5,475,839	12/12/95	Watson et al.	713		

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YCS	DX	5,557,742	9/17/96	Smaha et al.	713		
	DY	5,586,260	12/17/96	Hu	713		
	DZ	5,606,668	2/25/97	Shwed	713		
I	EA	5,623,600	4/22/97	Ji et al.	713		
	EB	5,623,601	4/22/97	Vu	713		
I	EC	5,696,822	12/9/97	Nachenberg	713		
	ED	5,761,504	6/2/98	Corrigan et al.	713		
	EE	5,764,887	6/9/98	Kells et al.	713		
	EF	5,764,890	6/9/98	Glasser et al.	713		
	EG	5,787,177	7/28/98	Leppek	713		
	EH	5,796,942	8/18/98	Esbensen	713		
	EI	5,798,706	8/25/98	Kraemer et al.	713		
I	EJ	5,812,763	9/22/98	Teng	713		
	EK	5,815,574	9/29/98	Fortinsky	713		
I	EL	5,822,517	10/13/98	Dotan	713		
YCS	EM	6,851,057	02/01/05	Nachenberg	713		

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YCS	EN	5,826,013	10/20/98	Nachenberg	713		
	EO	5,828,833	10/27/98	Belville et al.	713		
	EP	5,832,208	11/3/98	Chen et al.	713		
	EQ	5,832,211	11/3/98	Blakley, III et al.	713		
	ER	5,838,903	11/17/98	Blakely, III et al.	713		
	ES	5,845,067	12/1/98	Porter et al.	713		
	ET	5,848,233	12/8/98	Radia et al.	713		
	EU	5,864,665	1/26/99	Tran	713		
	EV	5,875,296	2/23/99	Shi et al.	713		
	EW	5,892,903	4/6/99	Klaus	713		
	EX	5,919,257	7/6/99	Trostle	713		
	EY	5,919,258	7/6/99	Kayashima et al.	713		
	EZ	5,925,126	7/20/99	Hsieh	713		
	FA	5,931,946	8/3/99	Terada et al.	713		
	FB	5,940,591	8/17/99	Boyle et al.	713		
YCS	FC	6,886,102	04/26/05	Lyle	713		

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Y/S	FD	5,961,644	10/5/99	Kurtzberg et al.	713		
1	FE	5,978,917	11/2/99	Chi	713		
	FF	5,983,348	11/9/99	Ji	713		
1	FG	5,983,350	11/9/99	Minear et al.	713		
	FH	5,987,606	11/16/99	Cirasole et al.	713		
1	FI	5,987,610	11/16/99	Franczek et al.	713		
	FJ	5,987,611	11/16/99	Freund	713		
1	FK	5,991,881	11/23/99	Conklin et al.	713		
	FL	5,999,711	12/7/99	Misra et al.	713		
	FM	6,003,132	12/14/99	Mann	713		
	FN	6,061,795	5/9/00	Dircks et al.	713		
1	FO	6,070,244	5/30/00	Orchier et al.	713		
	FP	6,081,894	6/27/00	Mann	713		
	FQ	6,493,752	12/10/02	Lee et al.	713		
Y/S	FR	5,905,859	05/18/99	Holloway et al.	713		

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	FS	6,088,803	7/11/00	Tso et al.	713		
YES	FT	6,088,804	7/11/00	Hill et al.	713		
	FU	6,092,194	7/18/00	Touboul	713		
	FV	6,098,173	8/1/00	Elgessy et al.	713		
	FW	6,119,234	9/12/00	Aziz et al.	713		
	FX	6,122,738	9/19/00	Millard	713		
	FY	6,154,844	11/28/00	Touboul et al.	713		
	FZ	6,167,520	12/26/00	Touboul	713		
	GA	6,185,689	2/6/01	Todd, Sr. et al.	713		
	GB	6,205,552	3/20/01	Fudge	713		
	GC	6,266,773	7/24/01	Kisor et al.	713		
	GD	6,266,774	7/24/01	Sampath et al.	713		
	GE	6,272,641	8/7/01	Ji	713		
	GF	6,275,938	8/14/01	Bond et al.	713		
	GG	6,275,942	8/14/01	Bernhard et al.	713		
YES	GH	6,279,113	8/21/01	Vaidya	713		

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	GI	6,298,445	10/2/01	Shostack et al.	713		
	GJ	6,301,668	10/9/01	Glechauf et al.	713		
YCS	GK	6,314,520	11/6/01	Schell et al.	713		
	GL	6,321,338	11/20/01	Porras et al.	713		
	GM	6,324,647	11/27/01	Bowman-Amuah	713		
	GN	6,338,141	1/8/02	Wells	713		
	GO	6,347,374	2/12/02	Drake et al.	713		
	GP	6,357,008	3/12/02	Nachenberg	713		
	GQ	6,405,318	6/11/02	Rowland	713		
	GR	6,408,391	6/18/02	Huff et al.	713		
	GS	6,460,141	10/1/02	Olden	713		
	GT	6,470,449	10/22/02	Blandford	713		
	GU	6,477,648	11/5/02	Schell et al.	713		
YCS	GV	6,477,651	11/5/02	Teal	713		

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YCS	GW	6,487,666	11/26/02	Shanklin et al.	713		
	GX	6,499,107	12/24/02	Gleichauf et al.	713		
	GY	6,510,523	1/21/03	Perlman et al.	713		
	GZ	6,519,703	2/11/03	Joyce	713		
	HA	6,530,024	3/4/03	Proctor	713		
	HB	6,546,493	4/8/03	Magdych et al.	713		
	HC	6,574,737	6/3/03	Kingsford et al.	713		
	HD	6,578,147	6/10/03	Shanklin et al.	713		
	HE	6,681,331	1/20/04	Munson et al.	713		
	HF	6,691,232	2/10/04	Wood et al.	713		
	HG	6,704,874	3/9/04	Porras et al.	713		
	HH	6,725,377	4/20/04	Kouznnetsov	713		
	HI	6,725,378	4/20/04	Schuba et al.	713		
YCS	HJ	6,775,780	8/10/04	Muttik	713		

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ATTY. DOCKET NO. 05456.105009	SERIAL NO. 10/066,367	FILING DATE January 31, 2002
APPLICANT Robert Zobel et al.		GROUP 2135

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
YCS	HK	6,792,546	9/14/04	Shanklin et al.	713		
	HL	6,816,973	11/9/04	Gleichauf et al.	713		
	HM	6,839,850	1/4/05	Campbell et al.	713		
	HN	2001/0034847	10/25/01	Gaul, Jr.	713		
	HO	2002/0035698	3/21/02	Malan et al.	713		
	HP	2002/0083331	6/27/02	Krumel	713		
	HQ	2002/0083334	6/27/02	Rogers et al.	713		
	HR	2002/0138753	9/26/02	Munson	713		
	HS	2002/0144156	10/3/02	Copeland, III	713		
	HT	2003/0088791	5/8/03	Porras et al.	713		
	HU	2003/0212903	11/13/03	Porras et al.	713		
	HV	2004/0010718	1/15/04	Porras et al.	713		
	HW	4,672,609	6/9/87	Humphrey et al.	714		
	HX	4,773,028	9/20/88	Tallman	714		
YCS	HY	5,398,196	3/14/95	Chambers	714		

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APPLICANT Robert Zobel et al.		GROUP 2135

U.S. PATENT DOCUMENTS

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YCS	HZ	5,440,723	8/8/95	Arnold et al.	714		
I	IA	5,452,442	9/19/95	Kephart	714		
	IB	5,649,095	7/15/97	Cozza	714		
	IC	5,765,030	6/9/98	Nachenberg et al.	714		
	ID	5,964,889	10/12/99	Nachenberg	714		
	IE	6,006,016	12/21/99	Faigon et al.	714		
	IF	6,016,553	1/18/00	Schneider et al.	714		
	IG	6,021,510	2/1/00	Nachenberg	714		
	IH	6,029,256	2/22/00	Kouznetsov	714		
	II	6,035,423	3/7/00	Hodges et al.	714		
I	IJ	6,094,731	7/25/00	Waldin et al.	714		
	IK	6,108,799	8/22/00	Boulay et al.	714		
YCS	IL	6,173,413	1/9/01	Slaughter et al.	714		
	IM	6,912,676	06/28/05	Gusler et al.	714		

FOREIGN PATENT DOCUMENTS

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APPLICANT Robert Zobel et al.		GROUP 2135

U.S. PATENT DOCUMENTS

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YES	IN	6,199,181	3/6/01	Rechef et al.	714		
	IO	6,230,288	5/8/01	Kuo et al.	714		
	IP	6,314,525	11/6/01	Mahalingham et al.	714		
	IQ	6,324,656	11/27/01	Glechauf et al.	714		
	IR	6,601,190	7/29/03	Meyer et al.	714		
	IS	2002/0032880	3/14/02	Poletto et al.	714		
	IT	5,454,074	9/26/95	Hartel et al.	715		
	IU	5,522,026	5/28/96	Records et al.	715		
	IV	6,271,840	8/7/01	Finseth et al.	715		
	IW	6,517,587	2/11/03	Satyavolu et al.	715		
	IX	6,535,227	3/18/03	Fox et al.	715		
	IY	4,819,234	4/4/89	Huber	717		
	IZ	5,590,331	12/31/96	Lewis et al.	717		
	JA	5,774,727	6/30/98	Walsh et al.	717		
YES	JB	5,872,978	2/16/99	Hoskins	717		

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APPLICANT	GROUP	
Robert Zobel et al.	2135	

U.S. PATENT DOCUMENTS

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	JC	5,950,012	9/7/99	Shiell et al.	717		
YCS	JD	6,118,940	9/12/00	Alexander, III et al.	717		
	JE	6,405,364	6/11/02	Bowman-Amuah	717		
	JF	6,606,744	8/12/03	Mikurak	717		
	JG	6,397,242	5/28/02	Devine et al.	718		
	JH	2002/0032717	3/14/02	Malan et al.	718		
	JI	6,477,585	11/5/02	Cohen et al.	719		
YCS	JJ	6,721,806	4/13/04	Boyd et al.	719		

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APPLICANT Robert Zobel et al.		GROUP 2135

BOX 1 / 4**U.S. PATENT DOCUMENTS**

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		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
						YES	NO
JK	WO 01/084285	11/08/01	PCT	Internet Security Systems, Inc.			
JL	WO 02/006928	01/24/02	PCT	vCIS, Inc.			
JM	WO 00/054458	09/14/00	PCT	Psionic Software, Inc.			
JN	WO 02/056152	07/18/02	PCT	Psionic Software			
YES	JO	0 985 995	08/13/03	EP	International Business Machines Corp.		
1	JP	WO 93/25024	12/09/93	PCT	Cyberlock Data Intelligence, Inc.		
1	JQ	WO 00/02115	01/13/00	PCT	PRC Inc.		
1	JR	WO 99/00720	01/07/99	PCT	Telefonaktiebolaget LM Ericsson		
1	JS	WO 99/50734	10/07/99	PCT	AT&T Corporation		
1	JT	WO 98/41919	09/24/98	PCT	Trend Micro, Inc.		
1	JU	WO 99/53391	10/21/99	PCT	Sun Microsystems, Inc.		
1	JV	0 636 977	05/23/01	EP	Chambers		
YES	JW	WO 99/15966	04/01/99	PCT	Symantec Corporation		

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

JX	Essex, David, E-Sleuths Make Net Safe for E-Commerce, Computerworld, June 2000, pp. 1-2
JY	Newman, David, Intrusion Detection Systems, Data Communications, 1998, pp. 1-9
JZ	International Search Report for PCT/US02/17161 of December 31, 2002
KA	Hyland, et al., Concentric Supervision of Security Applications: A New Security Management Paradigm Computer Security Applications Conference, 1998, pp. 59-68

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APPLICANT Robert Zobel et al.		GROUP 2135

BOX 1 / 4

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YCS	KB	Koilpillai et al., Recon-A Tool for Incident Detection, Tracking and Response, Darpa Information Survivability Conference and Exposition, 2000, pp. 199-206
	KC	Alves-Foss, J., An Overview of SNIF: A Tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pp. 94-101
	KD	Mansouri-Samani et al., A Configurable Event Service for Distributed Systems Configurable Distributed Systems, 1996, pp. 210-217
	KE	International Search Report for PCT/US01/13769 of March 8, 2002
	KF	Jagannathan et al., System Design Document: Next-Generation Intrusion Detection Expert Systems (NIDES), Internet Citation, March 9, 1993, XP002136082, pp. 1-66
	KG	Koilpillai, Adaptive Network Security Management, DARPA NGI PI Conference, October 1998, pp. 1-27
	KH	Hiverworld Continuous Adaptive Risk Management, Hiverworld, Inc., 1999-2000, pp. 1-14
	KI	International Search Report for PCT/US02/04989 of September 19, 2002
	KJ	International Search Report for PCT/US02/02917 of August 8, 2002
	KK	International Search Report for PCT/US03/00155 of May 15, 2003
	KL	NXI Communications, Inc., White Paper, NTS Security Issues, October 15, 2001, pp. 1-12
	KM	Mounji et al., Distributed Audit Trail Analysis, Proceedings of the Symposium of Network and Distributed System Security, San Diego, CA, February 16-17, 1995, PP. 102-112
YCS	KN	Wobber et al., Authentication in the Taos Operating System, ACM Transactions on Computer Systems, Vol. 12, No. 1, February 1994, pp. 3-32

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APPLICANT				GROUP			
Robert Zobel et al.				2135			
U.S. PATENT DOCUMENTS							
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403	KO			Mayer et al., The Design of the Trusted Workstation: A True Infosec Product, 13 th National Computer Security Conference, Washing, DC, October 1-4, 1990, pp. 827-839			
	KP			Dawson, Intrusion Protection for Networks, Byte, April 1995, pp. 171-172			
	KQ			Buhkan, Checkpoint Charlie, PC Week Network, November 27, 1995, pp. N1, N6-N7			
	KR			Process Software Technical Support Page, found on http://www.process.com/techsupport/whitesec.html , printed off of the Process Software website on February 26, 2003, pp. 1-5			
	KS			Ganesan, BAFirewall: A Modern Firewall Design, Proceedings Internet Society Symposium on Network and Distributed System Security 1994, Internet Soc., 1994, pp. 99-108			
	KT			Lee, Trusted Systems, Chapter II-1-6 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 345-362			
	KU			Lunt, Automated Intrusion Detection, Chapter II-4-4 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 551-563			
	KV			Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solution, IEEE, March 1996, pp. 603-610			
	KW			Garg et al., High Level Communication Primitives for Concurrent Systems, IEEE, 1988, pp. 92-99			
	KX			Hastings et al., TCP/IP Spoofing Fundamentals, IEEE, May 1996, pp. 218-224			
	KY			Snapp, Signature Analysis and Communication Issues in a Distributed Intrusion Detection System, Master Thesis, University of California, Davis, California, 1991, pp. 1-40			
403	KZ			Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solutions, IEEE, July 1997, pp. 40-48			
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Robert Zobel et al.				2135			
U.S. PATENT DOCUMENTS							
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40	LA		Djahandari et al., An MBone for an Application Gateway Firewall, IEEE, November 1997, pp. 72-81				
	LB		Kim et al., Implementing a Secure Login Environment: A Case Study of Using a Secure Network Layer Protocol, Department of Computer Science, University of Alabama, June 1995, pp. 1-9				
	LC		Satyanarayanan, Integrating Security in a Large Distributed System, Acm Transaction on Computer Systems, Vol. 7, No. 3, August 1989, pp. 47-280				
	LD		Sammons, Nathaniel, "Multi-platform Interrogation and Reporting with Rscan," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 75-87				
	LE		Dean et al., "Java Security: From HotJava to Netscape and Beyond," Proceedings of the 1996 IEEE Symposium on Security and Privacy, May 6-8, 1996, Oakland, California, pp. 190-200.				
	LF		Fisch et al., "The Design of an Audit Trail Analysis Tool," Proceedings of the 10 th Annual Computer Security Applications Conference, December 5-9, 1994, Orlando, Florida, pp. 126-132.				
	LG		Safford et al., "The TAMU Security Package: An Ongoing Response to Internet Intruders in an Academic Environment," USENIX Symposium Proceedings, UNIX Security IV, October 4-6, 1993, Santa Clara, California, pp. 91-118.				
	LH		Sugawara, Toshiharu, "A Cooperative LAN Diagnostic and Observation Expert System," Ninth Annual Phoenix Conference on Computers and Communications, 1990 Conference Proceedings, March 21-23, 1990, Scottsdale, Arizona, pp. 667-674.				
	LI		Casella, Karen A., "Security Administration in an Open Networking Environment," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 67-73.				
	LJ		Burchell, Jonathan, "Vi-SPY: Universal NIM?" Virus Bulletin, January 1995, pp. 20-22.				
46	LK		Benzel et al., "Identification of Subjects and Objects in a Trusted Extensible Client Server Architecture," 18 th National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 83-99.				
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05456.105009	10/066,367	January 31, 2002
APPLICANT		GROUP
Robert Zobel et al.		2135

BOX 1 / 4

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yes	LL	Epstein et al., "Component Architectures for Trusted Netware," 18 th National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 455-463.
	LM	Varadharajan, Vijay, "Design and Management of a Secure Networked Administration System: A Practical Approach," 19 th National Information Systems Security Conference, October 22-25, 1996, Baltimore, Maryland, pp. 570-580.
	LN	Snapp et al., "DIDS (Distributed Intrusion Detection System) - Motivation, Architecture, and An Early Prototype," 14 th National Computer Security Conference, October 1-4, 1991, Washington, DC, pp. 167-176.
	LO	Broner et al., "Intelligent I/O Rule-Based Input/Output Processing for Operating Systems," Operating Systems Review, Volume 25, Number 3, July 1991, pp. 10-26.
	LP	Drews et al., "Special Delivery - Automatic Software Distribution Can Make You A Hero," Network Computing, August 1, 1994, pp. 80, 82-86, 89, 91-95.
	LQ	Morrissey, Peter, "Walls," Network Computing, February 15, 1996, pp. 55-59, 65-67.
	LR	Harlander, Dr. Magnus, "Central System Administration in a Heterogenous Unix Environment: GeNUAdmin," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 1-8.
	LS	Shaddock et al., "How to Upgrade 1500 Workstations on Saturday, and Still Have Time to Mow the Yard on Sunday," The Ninth Systems Administration Conference LISA '95, September 17-22, 1995, Monterrey, California, pp. 59-65.
	LT	Anderson, Paul, "Towards a High-Level Machine Configuration System," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 19-26.
yes	LU	Cooper, Michael A., "Overhauling Rdist for the '90s," Proceedings of the Sixth Systems Administration Conference (LISA VI), October 19-23, 1992, Long Beach, California, pp. 175-188.

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APPLICANT				GROUP	
Robert Zobel et al.				2135	
BOX 1 / 4					
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LV		Vangala et al., "Software Distribution and Management in a Networked Environment," Proceedings of the Sixth Systems Administration Conference, October 19-23, 1992, Long Beach, California, pp. 163-170.			
LW		Kim et al., "The Design and Implementation of Tripwire: A File System Integrity Checker," 2 nd ACM Conference on Computer and Communications Security, November 2-4, 1994, Fairfax, Virginia, pp. 18-29.			
LX		Winn Schwartau, "e.Security™-Solving 'Dumb Days' With Security Visualization," e-Security, Inc., Naples, FL 34103, 2000.			
LY		Anita D'Amico, Ph.D., "Assessment of Open e-Security Platform™: Vendor-Independent Central Management of Computer Security Resources," Applied Visions, Inc., 1999.			
LZ		"e.Security™-Open Enterprise Security Management: Delivering an integrated, automated, centrally Managed Solution You Can Leverage Today and Tomorrow," e-Security, Inc., Naples, FL 34102, 1999.			
MA		"e.Security™-Vision," e-Security, Inc., Naples, FL, 1999.			
MB		"e.Security™ -Administrator Workbench™," e-Security, Inc. Naples, FL, 1999.			
MC		"e.Security™-Fact Sheet," e-Security, Inc., Naples, FL, 1999.			
MD		"e.Security™-Open e-Security Platform™," e-Security, Inc. Naples, FL, 1999.			
ME		Babcock, "E-Security Tackles The Enterprise," July 28, 1999; Inter@ctive Week, www.Zdnet.com.			
MF		Kay Blough, "In Search of More-Secure Extranets," November 1, 1999, www.InformationWeek.com			
MG		Paul H. Desmond, "Making Sense of Your Security Tools," Software Magazine and Wiesner Publishing, www.softwaremag.com , 1999.			
MH		Kay Blough, "Extra Steps Can Protect Extranets," November 1, 1999, www. InformationWeek.com			
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APPLICANT Robert Zobel et al.		GROUP 2135
		BOX 1 / 4

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45	MI	Sean Hao, "Software protects e-commerce - e-Security's product alerts networks when hackers attack," Florida Today, Florida.
	MJ	Scott Weiss, "Security Strategies - E-Security, Inc.," product brief, Hurwitz Group, Inc., March 24, 2000.
	MK	Sean Adeo, CISA, "Managed Risk, Enhanced Response - The Positive Impact of Real-Time Security Awareness," Information Systems Control Journal, Vol. 2, 2000
	ML	"Reprint Review - The Information Security Portal - Open e-Security Platform Version 1.0", February 2000, West Coast Publishing, SC Magazine, 1999
	MM	"e-Security - "Introducing the First Integrated, Automated, and Centralized Enterprise Security Management System," white paper, e-Security, Inc., Naples, FL 34102, 1999
	MN	Ann Harrison, "Computerworld - Integrated Security Helps Zap Bugs," Feb. 21, 2000, Computerworld, Vol. 34, No. 8, Framingham, MA.
	MO	Shruti Daté, "Justice Department Will Centrally Monitor Its Systems For Intrusions," April 3, 2000, Post-Newsweek Business Information, Inc., www.gcn.com.
	MP	e.Security™, website pages (pp 1-83), www.esecurityinc.com, e-Security, Inc., Naples, FL 34103, September 14, 2000.
	MQ	Peter Sommer, "Intrusion Detection Systems as Evidence," Computer Security Research Centre, United Kingdom.
	MR	Musman et al., System or Security Managers Adaptive Response Tool, DARPA Information Survivability Conference and Exposition, January 25, 2000, pp. 56-68
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ATTY. DOCKET NO. 05456.105009	SERIAL NO. 10/066,367	FILING DATE January 31, 2002
APPLICANT Robert Zobel et al.		GROUP 2135
		BOX 1 / 4

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	MY	Stevens, TCP/IP Illustrated, Vol. 1, 1994, pp. 247
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APPLICANT Robert Zobel et al.		GROUP 2135

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APPLICANT Robert Zobel et al.		GROUP 2135

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	NX	International Search Report for PCT/US01/19142 of January 17, 2003
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APPLICANT		GROUP
Robert Zobel et al.		2135
		BOX 1 / 4

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BOX 1 / 4

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FORM PTO-1449, Adapted

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05456.105009	10/066,367	January 31, 2002
APPLICANT	GROUP	BOX 2 / 4
Robert Zobel et al.	2135	

U.S. PATENT DOCUMENTS

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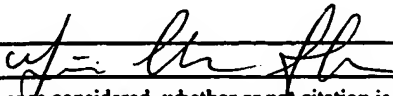
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05456.105009		10/066,367		January 31, 2002			
APPLICANT				GROUP			
Robert Zobel et al.				2135			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS
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FORM PTO-1449, Adapted

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05456.105009	10/066,367	January 31, 2002
APPLICANT	GROUP	
Robert Zobel et al.	2135	

BOX 2 / 4

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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FOREIGN PATENT DOCUMENTS

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APPLICANT Robert Zobel et al.		GROUP 2135

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APPLICANT Robert Zobel et al.	GROUP 2135	BOX 2 / 4

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APPLICANT Robert Zobel et al.		GROUP 2135

BOX 2 / 4

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APPLICANT Robert Zobel et al.		GROUP 2135
BOX 2 / 4		

U.S. PATENT DOCUMENTS

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	RX	Munson et al., Watcher: The Missing Piece of the Security Puzzle, December 2001.
	RY	Pearl, Judea, Probabilistic Reasoning in Intelligent Systems: Networks of Plausible Inference, September 1988.
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	SA	EMERALD TCP Statistical Analyzer 1998 Evaluation Results, http://www.sdl.sri.com/projects/emerald/98-eval-estat/index.html , July 9, 1999, Pages 1-15.
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	SE	SRI International, Blue Sensors, Sensor Correlation, and Alert Fusion, October 4, 2000.
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APPLICANT Robert Zobel et al.	GROUP 2135	BOX 2 / 4

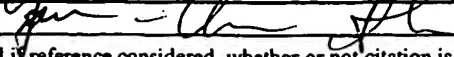
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	SK	Cracker Tracking: Tighter Security with Intrusion Detection, http://www.bvte.com/art/9805/sec20/art1.htm , May 1998, pages 1-8.
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	SM	Business Security Advisor Magazine, Intrusion Detection Systems: What You Need to Know, http://advisor.com/doc/0527 , September 1998, pages 1-7.
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APPLICANT Robert Zobel et al.		GROUP 2135

BOX 2 / 4

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	SW	Staniford-Chen et al., GrIDS-A Graph Based Intrusion Detection System for Large Networks, University of California, Davis, California, 19 th National Information Systems Security Conference, 1996, Pages 1-10
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	SY	Porras et al., Emerald: Event Monitoring Enabling Responses to Anomalous Live Disturbances, SRI International, Menlo Park, California, 20 th National Information Systems Security Conference, October 1997, Pages 1-24
	SZ	Porras et al., A Mission-Impact Based Approach to INFOSEC Alarm Correlation, SRI International, Menlo Park, California, October 2002, Pages 1-33
	TA	Phrack 55 Download (234 kb, 1999-09-09), http://www.phrack.org/show.php?p=55&a=9 , Pages 1-6
	TB	Porras et al., A Mission-Impact-Based Approach to INFOSEC Alarm Correlation, SRI International, Menlo Park, California, October 2002, Pages 1-19
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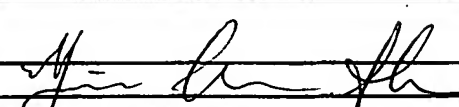
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Robert Zobel et al.				2135	
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	TE	Janakiraman et al., Indra: A Peer-to-Peer Approach to Network Intrusion Detection and Prevention, Proceedings of the 12 th International Workshop on Enabling Technologies: Infrastructure for Collaborative Enterprises, 2003, Pages 1-5			
	TF	Innella, Intrusion Detection Systems (IDS), Navy Information Assurance, June 28, 2004, Pages 1-4, http://www.infosec.navy.mil/ps/?t=infosecprodsservices/infosecprodsservices.tag&bc=/infosecprodsservices/b...			
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APPLICANT Robert Zobel et al.				GROUP 2135		
BOX 2 / 4						
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		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
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	TP	Internet Security Systems, SAFEsuite Decisions, 2000, pp. 1-2				
	TQ	Internet Security Systems, Dynamic Threat Protection, Presse-Roundtable, Munich, Germany, April 10, 2003, pp. 1-63				
	TR	Internet Security Systems, Preliminary ODM 1.0 Functional Specification, August 1997, pp. 1-7				
	TS	Internet Security Systems, Inc., Scanner-ISSDK Interface, Design Specification, June 2, 2000, Draft 1.07, pp. 1-7				
	TT	RealSecure, Adaptive Network Security Manager Module Programmer's Reference Manual, pp. 1-74				
	TU	Advanced Concept Technology Demonstrations (ACTD), 2005, pp. 1-28				
	TV	Frank, Sounding the Alarm, September 6, 1999, Federal Computer Week, pp. 1-2				
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APPLICANT Robert Zobel et al.		GROUP 2135

BOX 2 / 4**U.S. PATENT DOCUMENTS**

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	UG	Luckham et al., Complex Event Processing in Distributed Systems, August 18, 1988, Stanford University, pp. 1-26
	UH	Pettigrew, US Southcom United States Southern Command's Information Sharing Projects, Summer 1999, IAnewsletter, Vol. 3, No. 1, pp. 1-24
	UI	Levitt et al., CMAD IV, Computer Misuse & Anomaly Detection, Session Summaries, November 12-14, 1996, Proceedings of the Fourth Workshop on Future Directions in Computer Misuse and Anomaly Detection, Monterey, California, pp. 1-86
YES	UJ	Cheung et al., The Design of GrIDS: A Graph-Based Intrusion Detection System, January 26, 1999, University of California, pp. 1-51

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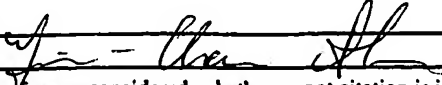
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APPLICANT				GROUP	
Robert Zobel et al.				2135	
BOX 2, 3 / 4					
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	UL			Garofalakis et al., Network Mining and Analysis: The Nemesis Project, Bell Labs, Lucent Technologies, No Date, pp. 1-12	
	UM			RealSecure ICEcap Manager User Guide Version 3.6, Internet Security Systems, Inc., 1998-2002, pp. 1-228	
	UN			Cuppens, Cooperative Intrusion Detection, Date Unknown, pp. 1-10	
	UO			Mukherjee et al., Network Intrusion Detection, IEEE Network, May/June 1994, pp. 26-41	
	UP			Machlis, Net Monitoring Tools Gain Real-Time Alerts, April 14, 1997, http://www.computerworld.com , pp. 1-12	
	UQ			OmniGuard/ITA Intruder Alert, AXENT Technologies, Inc., November 17, 2004, http://www.web.archive.org , pp. 1-10	
	UR			NetRanger Overview, Chapter 1, Date Unknown, pp. 1-16	
	US			Sutterfield, Large-Scale Network Intrusion Detection, 1997, WheelGroup Corporation, pp. 1-10	
	UT			Kumar et al., An Application of Pattern Matching in Intrusion Detection, Technical Report No. CSD-TR-94-013, June 17, 1994, Purdue University, pp. 1-55	
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BOX 3 / 4							
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	UX	Bass, Intrusion Detection System and Multisensor Data Fusion, April 2000, Communications of the ACM, Vol. 43, No. 4, pp. 99-105					
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	VF	Security Manager for UNIX Systems Version 3.2.1 User's Guide, February 1998, Internet Security Systems, pp. 1-162					
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	VK	20 th National Information Systems Security Conference, October 6-10, 1997, Baltimore, Maryland, pp. 1-44
	VL	EMERALD Alert Management Interface User's Guide Version 1.2, December 6, 2000, SRI International, pp. 1-11
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	VO	Network ICE Products - ICEcap, Date Unknown, pp. 1-2
	VP	Forlanda, The Secrets to Driving on BlackICE, January 12, 2000, Network ICE, pp. 1-35
	VQ	BlackICE User's Guide Version 1.0 Beta Draft, 1999, Network ICE Corporation, pp. 1-59
	VR	ICEcap Administrator's Guide Version 1.0 Beta Draft, 1999, Network ICE Corporation, pp. 1-25
yes	VS	Shulak et al., ICEcap Advanced Administration Guide Version 3.0, 2001, Internet Security Systems Corporation, pp. 1-51

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yes	VT	"Real Secure, OS Sensor User Guide," Version 5.0, ©Internet Security Systems, Inc. 1997-2000; September 2000, pgs. 1-64.
	VU	"Real Secure, User's Guide," Version 3.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-128.
	VV	"System Security Scanner, User Guide," Version 1.6, © 1996-1998, Internet Security Systems, Inc., pgs. 1-164.
	VW	"Real Secure™, Network Engine User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38.
	VX	"Real Secure™, User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38
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	WB	"Database Scanner™, User Guide," Version 3.0.1, , © 1999 by Internet Security Systems, Inc., pgs. 1-164.
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	WD	"Real Secure, Server Sensor User Guide," Version 5.5, © Internet Security Systems, Inc. 2000, pgs. 1-56.
	WE	"Internet Scanner™, User Guide," Version 6.0, Copyright © 1999 by Internet Security Systems, Inc., pgs. 1-182.
	WF	"Internet Scanner™, User Guide," Version 6.1, © 2000 by Internet Security Systems, Inc., pgs. 1-226.
yes	WG	"Internet Scanner™, User Guide," Version 5.6, © 1992-1998, Internet Security Systems, Inc., pgs. 1-162.

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BOX 4 / 4

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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

yes	WH	"Internet Scanner™, User Guide," Version 5.3, © 1992-1998, Internet Security Systems, Inc. pgs. 1-173.
	WI	"Real Secure, Console User Guide," Version 5.5, © 1997-2000, Internet Security Systems, Inc., pgs. 1-162.
	WJ	"Internet Scanner™, User Guide," Version 5.8, © 1999 by Internet Security Systems, Inc., pgs. 1-148.
	WK	"SAFEsuite Decisions, User Guide," Version 1.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-88.
	WL	"Real Secure™, Console User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-114.
	WM	"SAFEsuite DECISIONS, User Guide," Version 2.5, © 2000 by Internet Security Systems, Inc., pgs. 1-194.
	WN	"System Scanner, User Guide," Version 1.7, © 1992-1998, Internet Security Systems, Inc., pgs. 1-248.
	WO	"Sytem Scanner, User Guide," Version 1.0, © 1996-1998, Internet Security Systems, Inc., pgs. 1-140.
	WP	"System Scanner™, User Guide," Version 4.0, © 1999 by Internet Security Systems, Inc., pgs. 1-178.
	WQ	Internet Security Systems, Inc., "Introduction to RealSecure Version 5.0, The Industry's Only Integrated Host-Based and Network-Based Intrusion Detection System", August 22, 2000, pp. 1-47
	WR	Internet Security Systems, Inc., "RealSecure Network Sensor and Gigabit Network Sensor Policy Guide Version 7.0", August 2003, pp. 1-86
	WS	Internet Security Systems, Inc., "RealSecure Console User Guide Version 3.1", June 1999, pp. 1-98
	WT	Internet Security Systems, Inc., "RealSecure Version 2.0", April 1998, pp. 1- 154
yes	WU	Internet Security Systems, Inc., "Enhanced Dynamic Threat Protection via Automated Correlation and Analysis", an ISS White Paper, 2002, pp. 1-14

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DATE CONSIDERED 03/02/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105009	SERIAL NO. 10/066,367	FILING DATE January 31, 2002
APPLICANT Robert Zobel et al.	GROUP 2135	BOX 4 / 4

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WV	6,871,284	03/22/05	Cooper et al.	713		
WW	5,872,915	02/16/99	Dykes et al.	713		

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

4CS	WX	Internet Security Systems, Inc., "RealSecure Site Protector Comparison Guide for Internet Scanner 7.0 Reporting Version 1.2", March 2003, an ISS Tech Note, pp. 1-15
	WY	Internet Security System, Inc., "RealSecure Site Protector Comparison Guide for ICEcap Manager Version 1.5", January 2002, an ISS Technical White Paper, pp. 1-27
	WZ	Internet Security Systems, Inc., "RealSecure SiteProtector Security Fusion Module 2.0 Frequently Asked Questions", March 2003, pp. 1-8
	XA	Internet Security Systems, Inc., "RealSecure SiteProtector Console User Reference Guide Version 2.0 Service Pack 1", March 14, 2003, Chapter 3, pp. 1-27
	XB	Internet Security Systems, Inc., "Proventia Gateway and Network Protection", July 2003, pp. 1-12
	XC	Farley, Internet Security System, Inc., "ID Fusion Technology, A First-Generation Approach", April 29, 1999, National Security Framework Forum, pp. 1-8
	XD	Farley, "RealSecure Fusion Engine Concepts", an ISS White Paper, June 30, 1999, pp. 1-23
4CS	XE	McGraw et al., "Secure Computing with Java: Now and the Future", 1997, http://java.sun.com/security/javaone97-whitepaper.html , pgs. 1-20

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